



### **TODAY'S LOGISTICS**



PRESENTATION LENGTH

~ 90 min

**Q&A** and WRAP-UP

We will save 10 min for questions at the end with a wrap-up discussion.

**RECORDING & SLIDES** 

All attendees will have access to the slides and corresponding recording.

## YOUR PRESENTER



# MICHELLE FRANK, PhD GRANTS CONSULTANT

Ph.D., Physiology University of Wisconsin-Madison



#### TOTAL WINS

\$9M+ to individual investigators & small businesses

- Joined Hanover in 2019
- >8 years of grants consulting experience
- Started writing grants as a graduate student

#### SPECIALIZES IN





#### On a personal note...



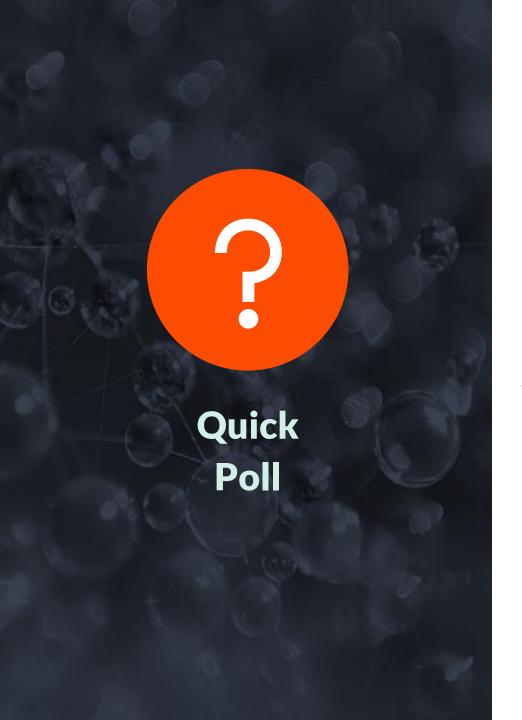
HIKING



COOKING AND FOOD BLOGGING



BREWING



- 1. Have you written your own grant? (Y/N)
- 2. If yes, have you been awarded funding from your own grant submission? (Y/N)

# **OVERVIEW OF TODAY'S TOPICS**











PREPARING TO WRITE YOUR NARRATIVE

DESIGNING YOUR NARRATIVE

WRITING YOUR NARRATIVE

CREATING SUPPORTING DOCUMENTS

LEVERAGING YOUR RESOURCES



# LET'S GET STARTED!



# BUT FIRST, SEMANTICS

#### Narrative often refers to the:

- Project Narrative → used by the USDA
- Research Plan
- Project Description → used by NSF
- Research Strategy → used by NIH
  - Please note: The *Project Narrative* is a separate component of any NIH application
  - 2-3 sentences in plain language for the lay public should the project be funded.
- Proposal
- The heart of your grant application





## TAKE STOCK

#### To prepare for a competitive grant proposal:

- Make a checklist of all required application elements
- Note required timelines and deadlines
- Create a grant development plan, including a timeline
- Gather required information
- Draft proposal documents
- Solicit feedback on your proposal documents
- Refine as needed
- Submit your proposal well ahead of the application's deadline

## PROPOSAL SUPPORT CHECKLIST

ALL DELIVERABLES		
	Spelling, Grammar, and Punctuation: Check and correct in comments, email, narrative, slides, etc.	
	Time Stamps: Remove all personal information from the file upon saving to remove timestamps.	
	Templates: Confirm all memos, prospecting reports, and slides are on the most recent template.	
	Delivery Email: Draft for the CD (see delivery email guidelines for details on format / content).	
	Hours: Report hours to the CD for all final deliveries (add time for debrief prep and call, if needed).	

REVI	EW		
	Funder Compliance: In the second comment, 1] <u>identify</u> all areas where the proposal does not comply with funder requirements on formatting, length, headings, etc.; 2] <u>link to or paste</u> the relevant funder requirements (and highlight key points, if necessary); and 3) explicitly tell the PI how to comply. Reiterate this guidance at the points in the proposal where it is most relevant.		
	Spelling, Grammar, and Punctuation: Do not correct these in the document. If the proposal includes many errors, note a few and recommend a thorough proofread prior to submission. If the proposal needs revision due to ESL or other reasons, recommend they seek that support (only offer Hanover as an option if the CD agrees). If you see a recurring problem the PI may miss in proofing, note it, and explain how/why to correct.		
	Number/Frequency of Comments: Best practice is an average of at least three substantive comments per pe whether praise or criticism.		
	Directiveness: Comments on compliance must be directive. Comments on obtaining feedback from a PO, peer, or grants office must be directive with supporting rationals. Comments on grantsmenship should be directive with supporting rationals. Comments on research or program design, supporting data or literature, or other elements should be consultative rather than directive.		
	Strengths vs. Weaknesses: Occasionally identify strengths but focus most comments on weaknesses.		
	Subject Matter Expertise: If the proposal includes content you feel you cannot evaluate due to lack of oubject matterpertise, use a comment to identify that content, state your limitation, and direct the PI to seek review from a pewith relevant expertise.		
	Omissions: Use a comment and the delivery email to identify sections not reviewed, and provide rationale.		
	Revisions: No direct edits in the document. Exceptions: Examples of how to address a formatting compliance issue or recommendation (e.g., moving a figure, changing spacing between paragraphs), addition of a recommended element (e.g., example Gants-type timeline), or recommended example results on that is too long to include in a comment. If any revisions are included, they <u>must be accompanied</u> by a comment noting that this is outside the scope of a typical knanover review.		
	File Name: When saving your completed file, follow this convention: CLIENT - PI Name - AGENCY Grant Type - Hanover Review - DeyMonYear.docx (EX UMBC - Opponeer - NIH ROJ - Hanover Review - 15th ADD		
	Delivery Email Repetition: Copy and paste delivery email as first comment.		

If something is unclear, ask questions as soon as possible – ideally, by email so that you have a record of your correspondence.



**GRANTS** 

# SAMPLE TIMELINE WITH KEY MILESTONES

Milestone	Responsible Party	Date	
Contact OSP to begin the proposal process			
Draft Project Description/Narrative			
Draft Budget (and corresponding Budget Justification)			
Draft Attachments (e.g., Facilities & Resources, Biosketch)			
Revise draft documents			
Solicit feedback of drafts from peers & external reviewers			
Review feedback			
Revise proposal documents			
Complete routing form (at least X weeks prior to the sponsor's deadline)			
Perform final review and revision			
Submit final document to OSP at least X days before the sponsor's deadline			
SPONSOR DEADLINE			





# START THE PROPOSAL DEVELOPMENT PROCESS WITH A CAREFUL REVIEW.



## WHAT YOUR REVIEW SHOULD INCLUDE

The Program Solicitation, e.g., <u>AFRI-EWD-RFA-508</u>



Eligibility, deadlines, narrative formatting (e.g., font, spacing, margins, page limits), and the required narrative content including the preferred or required outline and key elements.

Funded grants (if possible)



Structure, presentation, tone, vocabulary

 Review grantmaker guidance –
 e.g., NIFA Grants.gov Application Guide



Grantmaker policies and procedures; grantmaker language and tone; and grantmaker priorities



# THEN REVIEW

Your project design

What are the funder's aims?

How does your project accomplish these aims?

Assess and adjust your goals, objectives, and conceptual structure, if necessary

Note key elements to emphasize, e.g., buzzwords



## THEN REVIEW

Your project design



Note

rasize, e.g., buzzwords



## IRON OUT THE DETAILS



• What will be done?



• Who will do the work?



• Where will they do the work?



• How will they do the work?



• What tools and resources will be used to do the work?



How will you know if your project is successful?



## ALSO CONSIDER...

- How does the proposed project relate to the sponsor's interests?
- What difference will the project make to your institution, your students, your discipline, the state, nation, and stakeholders?
- What has already been done, and how will your project advance that work?

Remember: Good proposals come from good concepts!





## WRITE THE RESEARCH PLAN

Each solicitation will require information to be presented in specific ways. Read the details closely.

#### Strong narratives have similar core elements:

- Introduction
- Statement of the Problem
- Literature Review
- Conceptual Framework
- Hypotheses or Research Questions
- Methodology / Strategy
- Scope of Work
- Management Plan
- Staff and Institutional Qualifications
- Evaluation Plan



# THE INTRODUCTION

#### Do:

- Spark the reader's interest.
- Orient the reader to your project and your narrative.
- Specify the project's overall goals.

#### Don't:

- Meander or digress.
- Include unnecessary background information.
- Make unsubstantiated claims about your project's impact.

**Engage the reviewers!** 



## STATEMENT OF THE PROBLEM

#### Do:

- Concisely describe the problem you will solve or the question you will answer.
- Show that the problem or question is important.
- Point out the potential impact of solving the problem.
- Use data where necessary.

#### Don't:

 Restate the information in the solicitation – they already know that!



**Inspire the reviewers!** 



## LITERATURE REVIEW

#### Do:

- Review what has been done to address the problem thus far.
- Clearly delineate what gap you will fill.
- Show that you understand your field.
- Cover your bases in terms of important citations.

#### Don't:

- Omit key citations.
- Fail to address conflicting work.

Demonstrate confidence that you know your field.



## CONCEPTUAL FRAMEWORK

#### Do:

- Identify the theories or concepts that will guide your project.
- Describe how they will guide.
- Connect the theories or concepts to the work itself (e.g., via a logic model).

#### Don't:

- Be vague.
- Fail to bridge the gap between theory and practice.

Convince the reviewers that you are taking the right approach to solving the problem.



# RESEARCH QUESTIONS

#### Do:

- Clearly state hypotheses and questions.
- Explain how testing these hypotheses and/or answering these questions will solve the stated problem and fill the identified gaps in the literature.

#### Don't:

- Use vague or confusing wording.
- Include hypotheses that are not fully testable and falsifiable.

**Ground and frame the work.** 



## METHODOLOGY / STRATEGY

#### Do:

- Clearly describe your planned implementation methods.
- Include details for all procedures, work, and implementation protocols.
- Include enough detail so that the reviewer can judge feasibility and appropriateness.

#### Don't:

Assume the reviewer knows what you're talking about.

Leave the reviewer confident with your design.



## SCOPE OF WORK

#### Do:

- Describe exactly what will be done, including the sequence of the proposed activities and the anticipated outcomes and/or deliverables.
- Include all activities necessary for completing the project.
- Provide a viable schedule for carrying out the tasks (i.e., work plan)

#### Don't:

Include insufficient detail.

Leave the reviewer confident that you have thought through your process and have a plan.



## MANAGEMENT PLAN

#### Do:

- Explain how you will manage the project.
- Indicate who will be responsible for each work component.
- Describe how each element of the project will be coordinated.
- Include a graphical organizational chart where appropriate.

#### Don't:

 Be vague about responsibilities and management structure.

Leave the reviewer confident that you can get the work done.



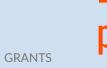
# QUALIFICATIONS

#### Do:

- Include details of relevant qualifications (skills and experience) for all key personnel.
- Describe the institution's resources and qualifications as appropriate.
- Connect qualifications directly to the work to be done.

#### Don't:

• Trumpet irrelevant qualifications.



Leave the reviewer confident that you are the right people, in the right place, to do this work right now.

## **EVALUATION PLAN**

#### Do:

- Follow the funder's guidance regarding evaluation approaches.
- Include formative and summative evaluation plans.
- Describe how you will use the evaluation to improve the project.
- Describe data collection and analysis instruments and procedures.
- Describe the evaluator's qualifications.

#### Don't:

 Provide an evaluation that is out-ofscale with the funder or the proposed work.

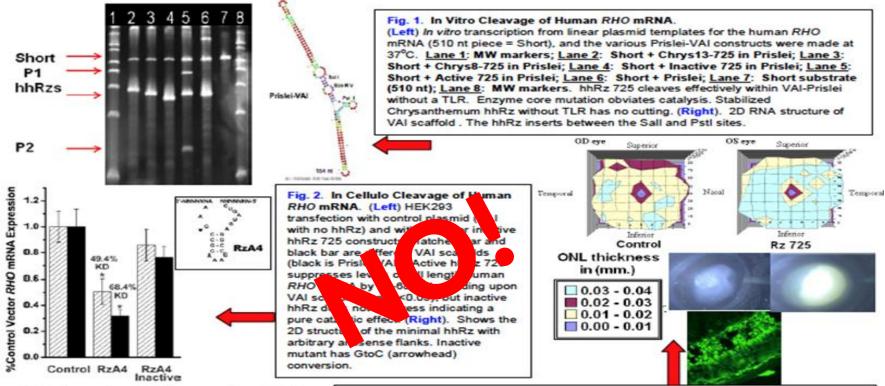


Leave the reviewer confident that you have the necessary structures in place to evaluate the project.

# HOW WILL YOU PRESENT YOUR MATERIAL SO THAT REVIEWERS ARE INSPIRED TO FUND YOUR PROJECT?



## PROBABLY NOT LIKE THIS



within its anticodon loop region (Medina and Joshi, 1999), and other tRNAs have been used as hhRz scaffolds in therapeutic contexts. Additionally, htRNA Lys3 has been widely studied, including two decades of work by collaborator Agris (Agris et al., 1997; Yarian et al., 2000; Stuart et al., 2000; Murphy et al., 2004; Bilbille et al., 2009; Vendeix et al., 2012). Recently, the

Fig. 3. Preliminary Evidence of Photoreceptor Rescue in adRP Model. (Top)
Subretinal Injection of rAAV expressing 725 hhRz or control without hhRz into the nasal
retina. Right eyes (OD) injected with control and left eyes (OS) with 725 hhRz vector.
Outer nuclear layer (ONL) thickness measured by optical coherence tomography (OCT)
across retinal surface and plotted in areal topography maps (color scale in millimeters
below). 725 hhRz promotes rescue of at least nasal and inferior quadrants relative to
control. (Middle). Subretinal injection of dye in glass needle inserted through eye wall from
right side. (Bottom) Histological section through a mouse retina that received a
subretinal injection of rAAV that expresses EGFP (green) in outer nuclear layer
(photoreceptors).

htRNA<sup>Lys3</sup> scaffold was used to abundantly express various small and large RNA aptamers and hhRzs in bacteria for biophysical and structural analysis (Ponchon and Dardel, 2007, 2009). The scaffold maintains the tRNA intrinsic RNA polymerase III A and B box promoter elements to allow high-level expression in human cells. htRNA<sup>Lys3</sup> is naturally expressed in human cells (unlike adenoviral VAI), decreasing toxicity potential in the context of a human gene therapy, and is post-transcriptionally modified in a natural manner. We plan to exploit the potential of the htRNA<sup>Lys3</sup> scaffold



## MAKING GREAT FIGURES

#### How do we get from BAD...

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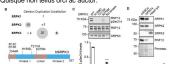


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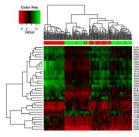


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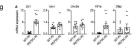


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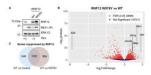


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#### ...to GREAT?

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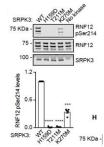


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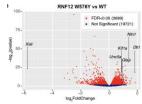


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## APPLYING THE META-NARRATIVE APPROACH

Use a "meta-narrative" technique that emphasizes sentences or phrases that you want the reviewers to see – especially for those who might be skimming the proposal

Ask yourself – What are the key points in each project section? What do I *absolutely* want the reviewers to know about my project? How can I convey the high points?

e.g., Bold formatting

Use of headers and sub-headers to guide reviewers

Give your reviewers the key takeaways at a quick glance.



### USING MERIT REVIEW CRITERIA FOR CONSTRUCTING META NARRATIVES

Weave the merit review criteria into your proposal!

Where appropriate, help the reviewers easily find the information they need to evaluate.

- ALWAYS include any headings required in the RFP and instructions guides
- ALSO CONSIDER adding subheadings/in-text emphasis for merit review criteria (e.g., use them as a template)



#### WRITING TO THE MERIT REVIEW CRITERIA: NIH

#### Select NIH merit review criteria for required section, "Significance":

- Does the project address an important problem or a critical barrier to progress in the field?
- Is the prior research that serves as the key support for the proposed project rigorous?
- If the aims of the project are achieved, how will scientific knowledge, technical capability, and/or clinical practice be improved?
- How will successful completion of the aims change the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field?



#### WRITING TO THE MERIT REVIEW CRITERIA

## A. SIGNIFICANCE Before: no meta narrative

Really Bad Problem (RBP) affects 7.9 billion people each year, incurring enormous economic burden and other serious things. [MORE ABOUT THE PROBLEM GOES HERE] Although recent work demonstrated that RBP can be solved through a careful regimen of healthy foods and meditation (Name Drop et al., 2018), the results of this study were called into question because the subjects were eating cotton candy and playing video games during data collection. A subsequent study concluded that the experimental regimen had no effect at all (Nemesis, 2020). [MORE LITERATURE REVIEW GOES HERE] Therefore, there is an urgent need to understand the mechanism underlying RBP to enable identification of an appropriate therapeutic intervention. The proposed study will make use of a unique animal model, developed in the PI's lab, to identify the receptor responsible for RBP. Moreover, it will test a novel therapeutic, Really Good Solution (RGS), for treatment of RBP. [MORE ABOUT THE SOLUTION HERE] The results of the proposed work will lead to a paradigm shift in current clinical approaches to RBP, with the potential to improve the quality of life for nearly 8 billion people worldwide.



#### WRITING TO THE MERIT REVIEW CRITERIA

## A. SIGNIFICANCE After: with meta narrative

Importance of the problem. Really Bad Problem (RBP) affects 7.9 billion people each year, incurring enormous economic burden and other serious things. [MORE ABOUT THE PROBLEM GOES HERE]

Rigor of the prior research. Although recent work demonstrated that RBP can be solved through a careful regimen of healthy foods and meditation (Name Drop et al., 2018), the results of this study were called into question because the subjects were eating cotton candy and playing video games during data collection. A subsequent study concluded that the experimental regimen had no effect at all (Nemesis, 2020). [MORE LITERATURE REVIEW GOES HERE] Knowledge gap: there is an urgent need to understand the mechanism underlying RBP to enable identification of an appropriate therapeutic intervention.

Significance of the expected research contribution. The proposed study will make use of a unique animal model, developed in the PI's lab, to identify the receptor responsible for RBP. Moreover, it will test a novel therapeutic, Really Good Solution (RGS), for treatment of RBP. [MORE ABOUT THE SOLUTION HERE] The results of the proposed work will lead to a paradigm shift in current clinical approaches to RBP, with the potential to improve the quality of life for nearly 8 billion people worldwide.



#### NIH PROPOSAL OUTLINE BEFORE & AFTER ADDING REVIEW CRITERIA LANGUAGE

- A. SIGNIFICANCE
- B. Innovation
- C. Approach

#### A. SIGNIFICANCE

- Importance of the problem
- Rigor of the Prior Research
- Significance of the expected research contribution

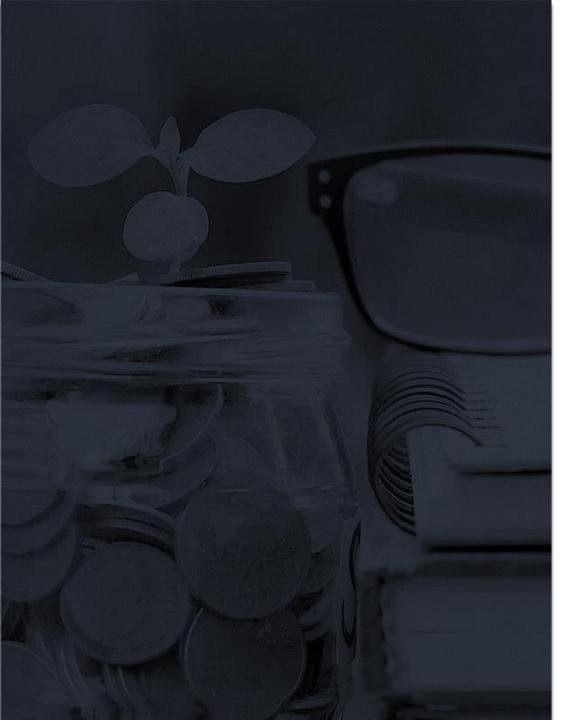
#### B. Innovation

- Novel methodology.
- Challenges to standard of care.

#### C. APPROACH

- Rationale.
- Methods.
- Data analysis
- Expected outcomes/benchmarks
- Potential problems & alternative strategies





# CONCEPT PAPER/META NARRATIVE WORKSHOP



## THE FULL PACKAGE



- Supporting documents are more than merely Attachments but rather, they are essential for a competitive grant.
- They should not be treated as an afterthought.
- They should be high-quality and could make/break your application.

## WHAT MAKES A FULL PACKAGE

- Abstract / Project Summary
- Budget and Budget Justification
  - Quotes or documentation for specific budget items
- Timeline
- Letters of support or letters of collaboration, Memoranda of Understanding (MOUs), contracts
- Biosketches / CVs
- Other sponsor-specific documentation:
  - Current and Pending Support
  - Facilities & Other Resources
  - Data Management Plan
  - Resource Sharing Plan
  - Post-Doctoral Mentoring Plan

- Use a checklist to see what you need to include and what you have completed
- Keep track of all of your supporting documents
- Manage version control
- Ensure alignment between your supporting documents and any changes that occur during revision of the Project Narrative



## A NOTE ON PERSUASIVE WRITING

Much of what goes into creating a competitive narrative includes crucial elements of **persuasive writing**.

- **Be strategic in your argument** to the funder that you are worth funding.
- Giving reviewers reasons why is critical.
  - As humans, we usually do not like to be told to accept something with no explanation:
  - "People are more likely to agree with a request if you give them a reason why, even if it doesn't make sense."\*



## PROPOSAL WRITING CHARACTERISTICS

**Sponsor goals** Service attitude

Future-oriented Work that should be done

**Project-centered** Objectives and activities

**Persuasive rhetoric** "Selling" the reader

Personal tone Conveys excitement

**Team-focused** Feedback needed

**Strict length constraints** Brevity rewarded

Accessible language Easily understood



Why Academics Have a Hard Time Writing Good Grant Proposals is an essential resource.

ACADEMIC WRITING IS NOT THE SAME.



## IN CONTRAST, ACADEMIC WRITING IS...

Scholarly pursuit Individual passion

Past-oriented Work that has been done

**Theme-centered** Theory and rhetoric

**Expository rhetoric** Explaining to the reader

Impersonal tone Objective, dispassionate

**Individualistic** Primarily a solo activity

Few length constraints Verbosity rewarded

Specialized terminology "Insider" jargon





"...proposals must be written in a strong, persuasive style, and [those] accustomed to a different style [should seek] help to develop more effective [grant]writing habits."



## LEVERAGE YOUR RESOURCES









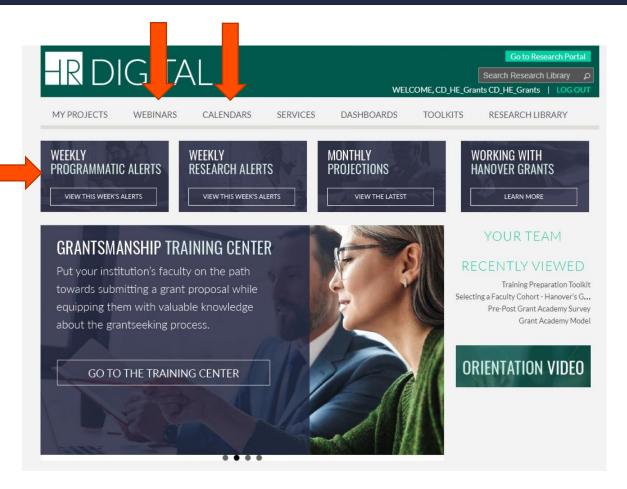
- Mentors, colleagues
- Funding announcements and opportunities
- Funder conferences
- Program Officers
- Peers who have been funded in your competition of interest
- Consultants
- Abstracts of recent awards (funder awards databases)
- Review funded proposals, if available

#### RESOURCES FOR GRANTWRITING

- The National Organization for Research Development Professionals (NORDP) maintains a Writing a Grant 101 page, which includes links to many useful guides, as well as a more general Resources page.
- The <u>Anatomy of a Specific Aims Page</u> by Bioscience Writers (2015).
- The Foundation Center provides an Introduction to Proposal Writing course, focused more on private grants.
- The <u>Grant Application Writer's Workbook</u> offers comprehensive, step-by-step instruction for creating proposals for a variety of funding agencies.
- The NIH Office of Extramural Research (OER) offers guidance for Writing the Application and the NIAID offers excellent application samples.
- Porter, R. (2007). Why Academics Have a Hard Time Writing Good Grant Proposals. Journal of Research Administration, 38(2):37. Retrieved from: <a href="http://www.uflib.ufl.edu/funding/documents/Whyacademicsprobsgrantwriting.pdf">http://www.uflib.ufl.edu/funding/documents/Whyacademicsprobsgrantwriting.pdf</a>
- 10 Red Flags in Grantwriting. Inside Higher Education.



## HANOVER'S GRANTSMANSHIP TRAINING CENTER





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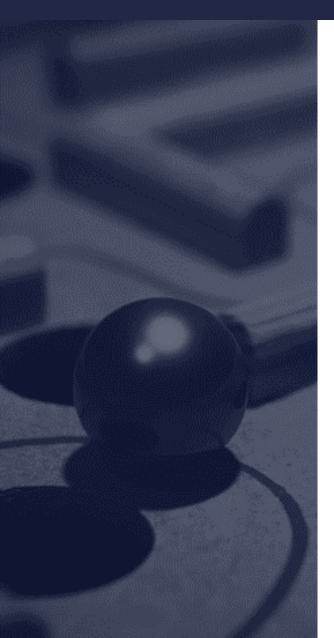
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Hanover debuted our first

<u>Grantsmanship Training</u>

<u>Center (GTC)</u>

for NSF CAREER applicants
last year – **CHECK IT OUT!** 

Stay tuned for additional modules coming soon, including an NIH-focused GTC.



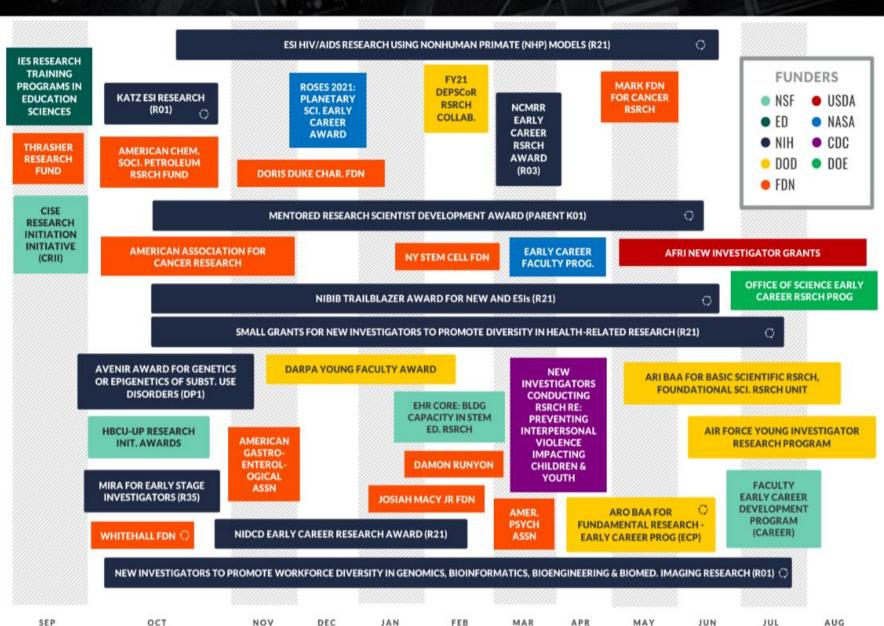


The Grant Rant on Apple Podcasts









## QUESTIONS?



